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PATENT Attorney Docket No.: A7206/T47300 AMAT No. 007206 USA/DSM/LOW K/JW TTC No.: 016301-047300US

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JUN ZHAO et al.

Application No.: Unassigned

Filed: Herewith

For: ANTI-STRATIFICATION-SOLUTION DELIVERY SYSTEM FOR

SPIN-ON DIELECTRICS

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

JUN ZHAO et al.

<u>PATENT</u>

Application No.: Unassigned

Page 2

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-1074. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Robert W. Mulcahy

Reg. No. 25,436

TOWNSEND and TOWNSEND and CREW LLP

Tel: 650-326-2400 Fax: 415-576-0300

RCL:cmm PA 3315904 v1 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO					Complete if Known		
					Application Number	Unassigned	
				CLOSURE	Filing Date	Herewith	
	STATEMENT BY APPLICANT				First Named Inventor	Zhao, Jun, et. al.	
					Art Unit	Unassigned	
_		(use as many	sheets as	necessary)	Examiner Name	Unassigned	
/	Page	1	of	1	Attorney Docket Number	A7206/T47300	

		Document Number				
Examiner	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	US-2,703,966	3/15/55	H. J. Snelson		
	AB	US-3,159,982	12/8/64	M. H. Schachner		
	AC	US-4,353,223	10/12/82	Dienemann		
	AD	US-5,750,440	5/12/98	Vanell et al.		
	AE	US-5,810,899	9/22/98	Fukuoka		
	AF	US-6,098,843	8/8/00	Soberanis et al.		
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FOREIGN PATENT DOCUMENTS								
Examiner	r Cite No.1	Foreign Patent Document				N (D)	Pages, Columns, Lines,	T
Initials*		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
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Examiner Signature	Date Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.